

1714

PATENT

(Practitioner's Docket No. IN-5550)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Lutz-Werner GROSS, et al

Serial No.: 10/030,307

Filed: July 1, 2002

Group Art Unit: Not Assigned

Examiner: Not Assigned

For: AQUEOUS COATING SUBSTANCE, METHOD FOR ITS PRODUCTION AND ITS USE

Commissioner of Patents
Washington, D.C. 20231CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that the following papers are being facsimile transmitted to the Patent and Trademark Office fax No.: (703) 872-8310 on the date shown below:

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1. ☐ Applicant(s) name miss spelled
2. ☐ Applicant's address
3. ☐ Title Incorrect,
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- 1.
- 2.
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4. February 28, 2002*
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Marjorie Ellis

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Reg. No.: 42,806

Michael F. Morgan
SIGNATURE OF ATTORNEY

Michael F. Morgan

Type or print name of attorney

Tel. No.: (248) 948-2355

BASF Corporation
28701 Telegraph Road
P.O. Address

Southfield, Michigan 48034-2442

(Request for Corrected Filing Receipt [5-8]-page 2 of 2)

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Serial No.: 10/030,307

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- February 28, 2002*
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Date: July 1, 2002

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Marjorie Ellis

(type or print name of person certifying)

(Request for Corrected Filing Receipt [5-8]-page 1 of 2)

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Reg. No.: 42,906

Michael F. Morgan
SIGNATURE OF ATTORNEY

Michael F. Morgan

Type or print name of attorney

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BASF Corporation
26701 Telegraph Road
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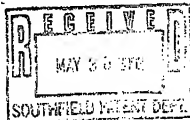
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/030,307	02/26/2002	1755	1474	IN-5550		38	3

26922
BASF CORPORATION
ANNE GERRY SABOURIN
26701 TELEGRAPH ROAD
SOUTHFIELD, MI 48034-2442

FILING RECEIPT



000000000011208

CONFIRMATION NO. 8789

Date Mailed: 05/20/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Lutz-Werner Gross, Haltern, GERMANY;
Ludwig Moorkamp, Munster, GERMANY;
Heinz-Ulrich Grumpe, Senden, GERMANY;
Ulrich Poth, Munster, GERMANY;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/EP00/06286 07/05/2000

Foreign Applications

GERMANY 199 32 497.2 07/12/1999

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

Title

Aqueous coating substance, method for its production and its use

Preliminary Class

106

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 184, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



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U.S. APPLICATION NUMBER NO. 10/030,307	FIRST NAMED APPLICANT Lutz-Werner Gross	ATTY. DOCKET NO. IN-5550
INTERNATIONAL APPLICATION NO. PCT/EP00/06286		
I.A. FILING DATE 07/05/2000		PRIORITY DATE 07/12/1999

26922
BASF CORPORATION
ANNE GERRY SABOURIN
28701 TELEGRAPH ROAD
SOUTHFIELD, MI 48034-2442

CONFIRMATION NO. 8789

371 ACCEPTANCE LETTER



Date Mailed: 05/20/2002

**NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.494
OR 1.495**

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

02/26/2002
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and
(c)(4) REQUIREMENTS

02/26/2002
DATE OF RECEIPT OF ALL 35 U.S.C.
REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- U.S. Basic National Fee
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

DEBORAH D WILLIAMS

Telephone: (703) 305-3744

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